



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/624,153	07/21/2003	Yvonne M. Chen	P1469R1C1

09157  
 GENENTECH, INC.  
 1 DNA WAY  
 SOUTH SAN FRANCISCO, CA 94080

**CONFIRMATION NO. 2413**  
**FORMALITIES LETTER**



\*OC000000011089552\*

Date Mailed: 10/23/2003

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS  
 CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE  
 DISCLOSURES**

*Filing Date Granted*

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents

P.O. Box 1450  
Alexandria VA 22313-1450

---

*A copy of this notice **MUST** be returned with the reply.*

*Y.G.*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

**Effective OCTOBER 1, 2003.** Patent Fees will be adjusted. Please note that fee amounts required by the enclosed Notice might reflect the fees due on the date of filing. Any fee paid on or after OCTOBER 1, 2003 is subject to the new FY 2004 fee schedule and must be paid in the new amount.

**EXAMPLES OF FEES BEING ADJUSTED**

See [www.uspto.gov](http://www.uspto.gov) for complete FY 2003  
and FY-2004 Fee Schedules

DESCRIPTION	FY 2003	FY 2004	* Indicates fees remain at FY 2003 amount
Basic filing fee - Utility	\$750	\$770	
Basic filing fee - Utility (Small Entity)	\$375	\$385	
Independent claims in excess of three	\$84	\$86	
Independent claims in excess of three (Small Entity)	\$42	\$43	
Claims in excess of twenty	\$18	\$18*	
Claims in excess of twenty (Small Entity)	\$9	\$9*	
Multiple dependent claim	\$280	\$290	
Multiple dependent claim (Small Entity)	\$140	\$145	
Surcharge - Late filing fee	\$130	\$130	
Surcharge - Late filing fee (Small Entity)	\$65	\$65	
Design filing fee	\$330	\$340	
Design filing fee (Small Entity)	\$165	\$170	
Plant filing fee	\$520	\$530	
Plant filing fee (Small Entity)	\$260	\$265	
Reissue filing fee	\$750	\$770	
Reissue filing fee (Small Entity)	\$375	\$385	
Reissue independent claims	\$84	\$86	
Reissue independent claims (Small Entity)	\$42	\$43	
Reissue claims in excess of twenty	\$18	\$18*	
Reissue claims in excess of twenty (Small Entity)	\$9	\$9*	
Provisional application filing fee	\$160	\$160*	
Provisional application filing fee (Small Entity)	\$80	\$80*	
Surcharge - Late provisional filing fee	\$50	\$50*	
Surcharge - Late provisional filing fee (Small Entity)	\$25	\$25*	
Non-English Specification	\$130	\$130*	
Extension - First month	\$110	\$110*	
Extension - First month (Small Entity)	\$55	\$55*	
Extension - Second month	\$410	\$420	
Extension - Second month (Small Entity)	\$205	\$210	
Extension - Third month	\$930	\$950	
Extension - Third month (Small Entity)	\$465	\$475	
Extension - Fourth month	\$1,450	\$1,480	
Extension - Fourth month (Small Entity)	\$725	\$740	
Extension - Fifth month	\$1,970	\$2,010	
Extension - Fifth month (Small Entity)	\$985	\$1,005	
Petition	\$130	\$130*	
Processing returned checks	\$50	\$50*	

For further fee information, please call

(703-308-HELP)